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| Project/Programme title: | *Please indicate the project title. Ideally this should reference the country where the project/programme will be implemented and be less than 100 characters, approximately 10-15 words.* |
| Country(ies): | *List all the countries where the project/programme will be implemented.* |
| Accredited Entity: | *Indicate the Accredited Entity submitting this proposal.* |
| Date of first submission: | *[YYYY/MM/DD]* |
| Date of current submission | *[YYYY/MM/DD]* |
| Version number | *[V.000]* |
|  |  |



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| *Note to Accredited Entities on the use of the funding proposal template* |
| * Accredited Entities should provide summary information in the proposal with cross-reference to annexes such as feasibility studies, gender action plan, term sheet, etc. * Accredited Entities should ensure that annexes provided are consistent with the details provided in the funding proposal. Updates to the funding proposal and/or annexes must be reflected in all relevant documents. * The total number of pages for the funding proposal (excluding annexes) **should not exceed 60**. Proposals exceeding the prescribed length will not be assessed within the usual service standard time. * The recommended font is Arial, size 11. * Under the [GCF Information Disclosure Policy](https://www.greenclimate.fund/disclosure/policy), project and programme funding proposals will be disclosed on the GCF website, simultaneous with the submission to the Board, subject to the redaction of any information that may not be disclosed pursuant to the IDP. Accredited Entities are asked to fill out information on disclosure in section G.4. |

Please submit the completed proposal to:

[fundingproposal@gcfund.org](mailto:fundingproposal@gcfund.org)

Please use the following name convention for the file name:

“FP-[Accredited Entity Short Name]-[Country/Region]-[YYYY/MM/DD]”

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| **PROJECT/PROGRAMME SUMMARY** | | | | |
| **A.1. Project or programme** | Choose an item. | **A.2. Public or private sector** | Choose an item. | |
| **A.3. Request for Proposals (RFP)** | *If the funding proposal is being submitted in response to a specific GCF* [*Request for Proposals*](https://www.greenclimate.fund/gcf101/funding-projects/project-funding)*, indicate which RFP it is targeted for. Please note that there is a separate template for the Simplified Approval Process and REDD+.*  Choose an item. | | | |
| **A.4. Result area(s)** | *Check the applicable* [*GCF result area(s)*](https://www.greenclimate.fund/how-we-work/funding-projects) *that the overall proposed project/programme targets below. For each checked result area(s), indicate the estimated percentage of* ***GCF and Co-financers’ contribution*** *devoted to it. The total of the percentages when summed should be 100% for GCF and Co-financers’ contribution respectively.* | | | |
|  | | **GCF**  **contribution** | **Co-financers’ contribution[[1]](#footnote-2)** |
| **Mitigation total** | | Enter number % | Enter number % |
| Energy generation and access | | Enter number % | Enter number % |
| Low-emission transport | | Enter number % | Enter number % |
| Buildings, cities, industries and appliances | | Enter number % | Enter number % |
| Forestry and land use | | Enter number % | Enter number % |
| **Adaptation total** | | Enter number % | Enter number % |
| Most vulnerable people and communities | | Enter number % | Enter number % |
| Health and well-being, and food and water security | | Enter number % | Enter number % |
| Infrastructure and built environment | | Enter number % | Enter number % |
| Ecosystems and ecosystem services | | Enter number % | Enter number % |
| **A.5. Expected mitigation outcome**  *(Core indicator 1: GHG emissions reduced, avoided or removed / sequestered)* | *Indicate greenhouse gas (GHG) emission reductions or removals in tCO2eq over total lifespan of the project/programme[[2]](#footnote-3)* | **A.6. Expected adaptation outcome**  *(Core indicator 2: direct and indirect beneficiaries reached)* | *Indicate total number of direct and indirect beneficiaries* | |
| *Indicate number of direct beneficiaries* | *Indicate number of indirect beneficiaries* |
| *Indicate % of direct beneficiaries vis-à-vis total population* | *Indicate % of indirect beneficiaries vis-à-vis total population* |
| **A.7. Total financing (GCF + co-finance[[3]](#footnote-4))** | \_\_\_\_\_ Choose an item. | **A.9. Project size** | Choose an item. | |
| **A.8. Total GCF funding requested** | \_\_\_\_\_ Choose an item.  *For multi-country proposals, please fill out annex 17.* |

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| **A.10. Financial instrument(s) requested for the GCF funding** | *Mark all that apply and provide total amounts. The sum of all total amounts should be consistent with A.8.* | | | |
| Grant Enter number  Loan Enter number  Guarantee Enter number | | Equity Enter number  Results-based payment Enter number | |
| **A.11. Implementation period** | *Indicate the number of years and months the project/ programme is expected to be implemented.* | **A.12. Total lifespan** | | *Indicate the maximum number of years over which the outcomes of the investment are expected to be effective, i.e. to lead to adaptation and/or mitigation results.* |
| **A.13. Expected date of AE internal approval** | *This is the date that the Accredited Entity obtained/will obtain its own approval to implement the project/ programme, if available.*  Click or tap to enter a date. | **A.14. ESS category** | | *Refer to the AE’s safeguard policy and* [*GCF ESS Standards*](https://www.greenclimate.fund/safeguards/environment-social) *to assess your FP category.*  Choose an item. |
| **A.15. Has this FP been submitted as a CN before?** | Yes  No | **A.16. Has Readiness or PPF support been used to prepare this FP?** | | Yes  No |
| **A.17. Is this FP included in the entity work programme?** | Yes  No | **A.18. Is this FP included in the country programme?** | | Yes  No |
| **A.19. Complementarity and coherence** | *Does the project/programme complement other climate finance funding (e.g. GEF, AF, CIF, etc.)? If yes, please elaborate in section B.1.*  Yes  No | | | |
| **A.20. Executing Entity information** | *If not the Accredited Entity, please indicate the full legal name of the Executing Entity(ies) and provide its country of registration and ownership type. Note that there can be more than one Executing Entity. Also indicate if an Executing Entity is the National Designated Authority. Refer to the definition of Executing Entity in the Accreditation Master Agreement.* | | | |
| **A.21. Executive summary (max. 750 words, approximately 1.5 pages)** | | | | |
| *Provide an executive summary of the project/programme including:*   1. *Climate change problem* 2. *Proposed interventions* 3. *Climate results/benefits* | | | | |

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| **PROJECT/PROGRAMME INFORMATION** |
| **B.1. Climate context (max. 1000 words, approximately 2 pages)** |
| *Climate change problem: Describe the climate change problem the proposal is expected to address. Describe the mitigation needs (GHG emissions profile) and/or adaptation needs (climate hazards and associates risks based on impacts, exposure, and vulnerabilities) that the proposed interventions are expected to address. Also describe the most likely scenario (prevailing conditions or other alternative) that would remain or continue in the absence of the proposed interventions. Include baseline information. The methodologies used to derive such information, including the mitigation and adaptation needs, should be included in the feasibility study.*  *Context: In describing the mitigation and/or adaptation needs, briefly describe the target region/area of the proposed interventions including information on the demographics, economy, topography, etc.*  *Related projects/interventions: Also describe any recent or ongoing projects/interventions that are related to the proposal from other domestic or international sources of funding, such as the Global Environment Facility, Adaptation Fund, Climate Investment Funds, etc., and how they will be complemented by this project/programme (e.g. scaling up, replication, etc.). Please identify current gaps and barriers regarding recent or ongoing projects and elaborate further how this project/programme complements or addresses these.* |
| **B.2 (a). Theory of change narrative and diagram (max. 1500 words, approximately 3 pages plus diagram)** |
| *Present the theory of change (ToC) that contains a goal statement and describes how the proposed project/programme will contribute towards the goal statement by using results chain links from activities, outputs, to outcomes. By referring to the sample ToC diagram template available in the guidance note, present a ToC diagram (approximately 1 page)* *which visually represents the same logic in the narrative description. The ToC diagram and narrative may include a wide range of co-benefits[[4]](#footnote-5) as applicable in the context of the project/programme.*  *Note all co-benefits will need to be further elaborated in section D.3 (sustainable development potential) and correspondent co-benefit indicators should be provided under section E.5 (project/programme specific indicators).*  *The theory of change should also include any relevant barriers (social, gender, fiscal, regulatory, technological, financial, ecological, institutional, etc.) that need to be addressed as well as risks and assumptions. Note that the assumptions can be elaborated further in sections E.3 (GCF outcome level: reduced reduced emissions and increased resilience) and E.5 (project/programme specific indicators) for each relevant indicator, as appropriate.* |
| **B.2 (b). Outcome mapping to GCF results areas and co-benefit categorization** |
| *Fill in the GCF results area table below to map each project/programme outcome identified in section B.2(a) to the contributing GCF results area(s) by referring to the description of eight results areas provided in the guidance note.*   |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **Outcome number** | **GCF Mitigation Results Area (MRA 1-4)** | | | | **GCF Adaptation Results Area (ARA 1-4)** | | | | | **MRA 1**  Energy generation and access | **MRA 2**  Low-emission transport | **MRA 3**  Building, citiies, industries, appliances | **MRA 4**  Forestry and land use | **ARA 1**  Most vulnerable people and communities | **ARA 2**  Health, well-being, food and water security | **ARA 3**  Infrastructure and built environment | **ARA 4**  Ecosystems and ecosystem services | | Outcome 1 |  |  |  |  |  |  |  |  | | Outcome 2 |  |  |  |  |  |  |  |  | | Outcome 3 |  |  |  |  |  |  |  |  | | Outcome 4 |  |  |  |  |  |  |  |  | | Outcome ... |  |  |  |  |  |  |  |  | | Outcome ... |  |  |  |  |  |  |  |  |   *If any co-benefits have been identified in section B.2(a), fill in the Co-benefit table below to map each co-benefit to the corresponding category as defined in the FP guidance note.*   |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | | **Co-benefit number** | **Co-benefit** | | | | | | | **Environmental** | **Social** | **Economic** | **Gender** | **Adaptation** | **Mitigation** | | Co-benefit 1 |  |  |  |  |  |  | | Co-benefit 2 |  |  |  |  |  |  | | Co-benefit … |  |  |  |  |  |  | | Co-benefit … |  |  |  |  |  |  | |
| **B.3. Project/programme description (max. 2500 words, approximately 5 pages)** |
| *Define the project/programme. Describe the proposed set of components, outputs and activities that will be undertaken by the project/programme to attain the intended outcomes elaborated in Section B.2 (a). Please also elaborate how the project/programme activities will address the barriers described in Section B.2.*  *This section of the funding proposal should be consistent with sections C.2 (financing by component), E.5 (project/programme results level) and E.6 (project/programme activities and deliverables).*  *Referring to the feasibility study, describe why this set of interventions was selected instead of alternative solutions and how the project/programme can help unlock the needed support in a sustainable manner. Also identify trade-offs of the selected interventions, if applicable.*  *For Enhanced Direct Access (EDA) proposals and projects/programmes with financial intermediation (loans or on-granting), describe the selection criteria of the sub-project and types.* |
| **B.4. Implementation arrangements (max. 1500 words, approximately 3 pages plus diagrams)** |
| *Provide a description of the project/programme implementation structure, outlining legal, contractual, institutional and financial arrangements from and between the GCF, the Accredited Entity (AE) and/or the Executing Entity(ies) (EE) or any third parties (if applicable) and beneficiaries.*   * *Provide information on governance arrangements (supervisory boards, consultative groups among others) set to oversee and guide project implementation. Provide a composition of the decision-making body and oversight function, particularly for Enhanced Direct Access (EDA) proposals.* * *Provide information on the financial flows and implementation arrangements (legal and contractual) between the AE and the EE, between the EE or any third party and beneficiaries. For EEs that will administer GCF funds, indicate if a Capacity Assessment has been carried out. Where applicable, summarize the results of the assessment.* * *Describe the experience and track record of the AE and EEs with respect to the activities (sector and country/region) that they are expected to undertake in the proposed project/programme.*   *Provide a diagram(s) or organogram(s) that maps such arrangements including the governance structure, legal arrangements, and the flow and reflow of funds between entities.* |
| **B.5. Justification for GCF funding request (max. 1000 words, approximately 2 pages)** |
| *Explain why the project/programme requires GCF funding to address mitigation or adaptation measures, i.e. Why is the project/programme not currently being financed by public and/or private sector? Which market failure is being addressed with GCF funding? Are there any other domestic or international sources of financing?*  *Explain why the proposed financial instruments were selected in light of the proposed activities and the overall financing package. i.e. What is the coherence between activities financed by grants and those financed by reimbursable funds? How were co-financing amounts and prices determined? How does the concessionality of the GCF financing compare to that of the co-financing? If applicable, provide a short market read on the prevailing of the pricing and/or financial markets for similar projects/programmes.*  *Justify why the level of concessionality of the GCF financial instrument(s) is the minimum required to make the investment viable. Additionally, how does the financial structure and the proposed pricing fit with the concept of minimum concessionality? Who benefits from concessionality?*  *In your answer, please consider the risk sharing structure between the public and private sectors, the barriers to investment and the indebtedness of the recipient. Please reference relevant annexes, such as the feasibility study, economic analysis or financial analysis when appropriate.* |
| **B.6. Exit strategy (max. 500 words, approximately 1 page)** |
| *Explain how the project/programme will successfully exit once implementation is completed, including how results and benefits will continue beyond the project/programme period and how the contribution to paradigm shift will be maintained.*  *Include information pertaining to the longer-term ownership, project/programme exit strategy, operations and maintenance of investments (e.g. key infrastructure, assets, contractual arrangements). In case of private sector, please describe the GCF’s financial exit strategy through Initial Public Offerings, trade sales, etc.*  *Provide information on additional actions to be undertaken by public and private sector or civil society as part of the project/programme to ensure sustainability of the results attained.* |

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| **FINANCING INFORMATION** | | | | | | | | | | |
| **C.1. Total financing** | | | | | | | | | | |
| **(a) Requested GCF funding**  **(i + ii + iii + iv + v + vi + vii)** | **Total amount** | | | | | | **Currency** | | | |
| Enter amount | | | | | | Options | | | |
| **GCF financial instrument** | **Amount** | | **Tenor** | | | **Grace period** | | | **Pricing** | |
| (i) Senior loans | Enter amount | | Enter years | | | Enter years | | | Enter % | |
| (ii) Subordinated  loans | Enter amount | | Enter years | | | Enter years | | | Enter % | |
| (iii) Equity | Enter amount | |  | | |  | | | Enter % equity return | |
| (iv) Guarantees | Enter amount | | Enter years | | |  | | |  | |
| (v) Reimbursable grants | Enter amount | |  | | |  | | |  | |
| (vi) Grants | Enter amount | |  | | |  | | |  | |
| (vii) Results-based payments | Enter amount | |  | | |  | | |  | |
| **(b) Co-financing information** | **Total amount** | | | | | **Currency** | | | | |
| Enter amount | | | | | Options | | | | |
| **Name of institution** | **Financial instrument** | **Amount** | | **Currency** | | **Tenor & grace** | | **Pricing** | | **Seniority** |
| Click here to enter text. | Options | Enter amount | | Options | | Enter years  Enter years | | Enter% | | Options |
| Click here to enter text. | Options | Enter amount | | Options | | Enter years  Enter years | | Enter% | | Options |
| Click here to enter text. | Options | Enter amount | | Options | | Enter years  Enter years | | Enter% | | Options |
| Click here to enter text. | Options | Enter amount | | Options | | Enter years  Enter years | | Enter% | | Options |
| 1. **Total financing**   **(c) = (a)+(b)** | **Amount** | | | | | **Currency** | | | | |
| Enter amount | | | | | Options | | | | |
| **(d) Other financing arrangements and contributions (max. 250 words, approximately 0.5 page)** | *Please explain if any of the financing parties including the AE would benefit from any type of guarantee (e.g. sovereign guarantee, MIGA guarantee).*  *Please also explain other contributions such as in-kind contributions including tax exemptions and contributions of assets.*  *Please also include parallel financing associated with this project or programme (refer to the co-financing policy).* | | | | | | | | | |
| **C.2. Financing by component** | | | | | | | | | | |
| *Please provide an estimate of the total cost per component and output as outlined in section B.3. above and disaggregate by source of financing. More than one co-financing institution can fund a single component or output. Provide the summarised cost estimates in the table below and the detailed budget plan as annex 4.*   |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | **Component** | **Output** | **Indicative cost**  Options | **GCF financing** | | **Co-financing** | | | | **Amount**  Options | **Financial Instrument** | **Amount**  Options | **Financial Instrument** | **Name of Institutions** | | Click here to enter text. | Click here to enter text. | Enter amount | Enter amount | Choose an item. | Enter amount | Choose an item. | Click here to enter text. | | Click here to enter text. | Enter amount | Enter amount | Choose an item. | Enter amount | Choose an item. | Click here to enter text. | | Click here to enter text. | Click here to enter text. | Enter amount | Enter amount | Choose an item. | Enter amount | Choose an item. | Click here to enter text. | | Click here to enter text. | Enter amount | Enter amount | Choose an item. | Enter amount | Choose an item. | Click here to enter text. | | Click here to enter text. | Click here to enter text. | Enter amount | Enter amount | Choose an item. | Enter amount | Choose an item. | Click here to enter text. | | Click here to enter text. | Enter amount | Enter amount | Choose an item. | Enter amount | Choose an item. | Click here to enter text. | | **Indicative total cost** **(USD)** | | Enter amount | Enter amount | | Enter amount | | |   *This table should match the one presented in the term sheet and be consistent with information presented in other annexes including the detailed budget plan and implementation timetable.*  *In case of a multi-country/region programme, specify indicative requested GCF funding amount for each country in annex 17, if available.* | | | | | | | | | | |
| **C.3 Capacity building and technology development/transfer (max. 250 words, approximately 0.5 page)** | | | | | | | | | | |
| C.3.1 Does GCF funding finance capacity building activities? | | | | | Yes  No | | | | | |
| C.3.2. Does GCF funding finance technology development/transfer? | | | | | Yes  No | | | | | |
| *If the project/programme is expected to support capacity building and technology development/transfer, please provide a brief description of these activities and quantify the total requested GCF funding amount for these activities, to the extent possible.* | | | | | | | | | | |

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| **EXPECTED PERFORMANCE AGAINST INVESTMENT CRITERIA** |
| *This section refers to the performance of the project/programme against the investment criteria as set out in the GCF’s* [*Initial Investment Framework*](https://www.greenclimate.fund/documents/20182/239759/Investment_Framework.pdf/eb3c6adc-0f24-4586-8e0d-70aa6fb8c3c8)*.* |
| **D.1. Impact potential (max. 500 words, approximately 1 page)** |
| *Describe the potential of the project/programme to contribute to the achievement of the Fund’s objectives and result areas. As applicable, describe the envisaged project/programme benefits for mitigation and/or adaptation. Provide the intended outcomes for mitigation by elaborating on how the project/programme contributes to low-emission sustainable development pathways. Provide the intended outcomes for adaptation by elaborating on how the project/programme contributes to increased climate-resilient sustainable development. Calculations should be provided as an annex. This should be consistent with section E.3 reporting GCF’s core indicators.* |
| **D.2. Paradigm shift potential (max. 500 words, approximately 1 page)** |
| *Paradigm shift potential is defined as ‘degree to which the proposed activity can catalyse impact beyond a one-off project or programme investment’. In this section, elaborate on the contribution to paradigm shift and how the proposed project/programme aims to contribute towards it based on the theory of change described in section B2(a). Also describe how and to what extent the project/programme will be able to promote or contribute to paradigm shift through the below.*   * *Potential for scaling up and replication* * *Potential for knowledge sharing and learning* * *Contribution to the creation of an enabling environment* * *Contribution to the regulatory framework and policies* * *Overall contribution to climate-resilient development pathways consistent with relevant national climate change adaptation strategies and plans* |
| **D.3. Sustainable development (max. 500 words, approximately 1 page)** |
| *Describe the wider benefits and priorities of the project/programme in relation to the Sustainable Development Goals and provide the potential in terms of:*   * *Environmental co-benefits* * *Social co-benefits including health co-benefit* * *Economic co-benefits* * *Gender-sensitive development benefit* |
| **D.4. Needs of recipient** **(max. 500 words, approximately 1 page)** |
| *Describe the scale and intensity of vulnerability of the country and beneficiary groups and elaborate how the project/programme addresses the issue (e.g. the level of exposure to climate risks for beneficiary country and groups, overall income level, etc.). Describe how the project/programme addresses the following needs:*   * *Vulnerability of the country and/or specific vulnerable groups, including gender aspects (for adaptation only)* * *Economic and social development level of the country and the affected population* * *Absence of alternative sources of financing (e.g. fiscal or balance of payments gap that prevents government from addressing the needs of the country; and lack of depth and history in the local capital market)* * *Need for strengthening institutions and implementation capacity* |
| **D.5. Country ownership** **(max. 500 words, approximately 1 page)** |
| *Please describe how the beneficiary country takes ownership of and implements the funded project/programme. Describe the following:*   * *Existing national climate strategy* * *Existing GCF country programme* * *Relevance to and alignment with existing policies such as Nationally Determined Contributions (NDCs), Nationally Appropriate Mitigation Actions (NAMAs), and National Adaptation Plans (NAPs)* * *NDCs, NAMAs, and NAPs* * *Capacity of Accredited Entities or Executing Entities to deliver* * *Role of National Designated Authority* * *Engagement with civil society organizations and other relevant stakeholders, including indigenous peoples, women and other vulnerable groups* |
| **D.6. Efficiency and effectiveness** (**max`. 500 words, approximately 1 page)** |
| *Describe how the financial structure is adequate and reasonable in order to achieve the proposal’s objectives, including addressing existing bottlenecks and/or barriers, and providing the minimum concessionality to ensure the project is viable without crowding out private and other public investments. Refer to section B.5 on the justification of GCF funding requested as necessary.*  *Please describe the efficiency and effectiveness of the proposed project/programme, taking into account the total financing and mitigation/ adaptation outcome the project/programme aims to achieve, and explain how this compares to an appropriate benchmark.*  *Please specify the expected economic rate of return based on a comparison of the scenarios with and without the project/programme.*  *IPlease specify the expected financial rate of return with and without the Fund’s support to illustrate the need for GCF funding to illustrate overall cost effectiveness.*  *Please explain how best available technologies and practices have been considered and applied. If applicable, specify the innovations/modifications/adjustments that are made based on industry best practices.* |

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| **LOGICAL FRAMEWORK** | | | | | | | | | | |
| *This section refers to the project/programme’s logical framework in accordance with the* [***GCF’s Integrated Results Management Framework***](https://www.greenclimate.fund/document/integrated-results-management-framework) *to which the project/programme contributes as a whole, including in respect of any co-financing.* | | | | | | | | | | |
| **E.1. Project/Programme Focus** | | | | | | | | | | |
| *Please indicate whether this proposal is for a mitigation or adaptation project/programme. For cross-cutting proposals, select both.*  Reduced emissions (mitigation)  Increased resilience (adaptation) | | | | | | | | | | |
| **E.2. GCF Impact level: Paradigm shift potential (max 600 words, approximately 1-2 pages)** | | | | | | | | | | |
| *This section of the logical framework is meant to help a project/programme monitor and assess how it contributes to the paradigm shift described in section D.2 above by applying three assessment dimensions - scale, replicability, and sustainability.*  *Accordingly, for each assessment dimension (see the definition per assessment in the accompanying guidance note), describe the current state (baseline) and the potential scenario (target) and rate the current state (baseline) by using the three-point-scale rating (low, medium, and high) provided in the guidance note. Also describe how the project/programme will contribute to that shift/ transformation under respective assessment dimensions (scale, replicability and sustainability). In doing so, please refer to section B.2(a) (theory of change).* | | | | | | | | | | |
| **Assessment Dimension** | **Current state (baseline)** | | | | **Potential target scenario**  **(Description)** | | | | **How the project/programme will contribute (Description)** | |
| **Description** | | **Rating** | |
| **Scale** |  | | Choose an item. | |  | | | | *Describe key applicable outputs and or resulting outcomes relevant to increasing (scaling up) quantifiable results within and beyond the scope of the intervention.* | |
| **Replicability** |  | | Choose an item. | |  | | | | *Describe key applicable outputs and resulting outcomes that will be replicated to other sectors, markets, geographical regions, or countries.* | |
| **Sustainability** |  | | Choose an item | |  | | | | *Describe key applicable outputs and resulting outcomes that will be sustained beyond the project/programme period.* | |
| **E.3. GCF Outcome level: Reduced emissions and increased resilience (IRMF core indicators 1-4, quantitative indicators)** | | | | | | | | | | |
| *Select appropriate IRMF core and supplementary indicators to monitor project/programme progress. More than one IRMF (core and or supplementary) indicators may be selected as applicable for each GCF results area and project/programme outcome (as defined in the table in section B.2(b)). If IRMF indicators are unable to measure any given project/programme outcomes, project/programme-specific indicators should be developed under section E.5 (project/programme specific indicators).* | | | | | | | | | | |
| **GCF Result Area** | | **IRMF**  **Indicator** | | **Means of Verification (MoV)** | | **Baseline** | **Target** | | | **Assumptions / Note** |
| **Mid-term** | **Final[[5]](#footnote-6)** | |
| Choose an item. | | Choose appropriate indicators and supplementary indicators | | *Sources of information and methods used to collect and report data /information to measure progress against targets* | | *The starting point or current value of the indicators before the implementation of the project* | *The estimated value of the indicator at the mid-point of the implementation* | *The estimated value of the indicator at the completion of the implementation* | | *Externalities and factors outside project management's control that may impact the outcomes*  *Data sources and methodologies applied for estimating baseline and targets* |
| Choose an item. | | Choose appropriate indicators and supplementary indicators | |  | |  |  |  | |  |
| Choose an item. | | Choose appropriate indicators and supplementary indicators | |  | |  |  |  | |  |

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| **E.4. GCF Outcome level: Enabling environment (IRMF core indicators 5-8 as applicable)** | | | | | |
| *Select at least two relevant IRMF core (enabling environment) indicators to monitor and elaborate the baseline context and project/programme’s targeted outcome against the respective indicators. Rate the current state (baseline) vis-à-vis the target scenario and select the geographical scope of the outcome to be assessed. Describe how the project/programme will contribute towards the target scenario. Refer to a case example in the accompanying guidance to complete this section.* | | | | | |
| **Core Indicator** | **Baseline context**  **(description)** | **Rating for current state (baseline)** | **Target scenario**  **(description)** | **How the project will contribute** | **Coverage** |
| Choose an item. |  | Choose an item. |  |  | Choose an item. |
| Choose an item. |  | Choose an item. |  |  | Choose an item. |
| Choose an item. |  | Choose an item. |  |  | Choose an item. |
| Choose an item. |  | Choose an item. |  |  | Choose an item. |

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| **E.5. Project/programme specific indicators (project outcomes and outputs)** | | | | | | | | | |
| *This section should list out project/programme-specific performance indicators (outcomes and outputs) that are not covered in sections above (E.1-E.4). List down tailored indicators to monitor /track progress against relevant project/programme results (outcomes/outputs). AEs have the freedom to decide against which outcomes they would like to set project/programme specific indicators. If any co-benefits are identified in sections B.2(a)(b), and D.3, AEs are encouraged to add and monitor co-benefit indicators under the “****Project/programme co-benefit indicators****” section in table below. Add rows as needed.*  *Please number each outcome and output as shown below to indicate association of outputs to the contributing outcome. The numbering for outputs under this section should correspond to the output numbering in annex 4 (detailed budget plan).* | | | | | | | | | |
| **Project/programme results**  **(outcomes/ outputs)** | **Project/programme specific Indicator** | | **Means of Verification (MoV)** | **Baseline** | | **Target** | | | **Assumptions / Note** |
| **Mid-term** | **Final** | |
| **Outcome 1** |  | | *Sources of information and methods used to collect and report data/information to measure progress against targets* | *The starting point or current value of the indicators before the implementation of the project* | | *The estimated value of the indicator at the mid-point of the implementation* | *The estimated value of the indicator at the completion of the implementation* | | *Externalities and factors outside project management's control that may impact on the Component*  *Data sources and methodologies applied for estimating baseline and targets* |
| Output 1.1 |  | |  |  | |  |  | |  |
| Output 1.2 |  | |  |  | |  |  | |  |
| **Outcome 2** |  | |  |  | |  |  | |  |
| Output 2.1 |  | |  |  | |  |  | |  |
| Output 2.2 |  | |  |  | |  |  | |  |
| **Project/programme co-benefit indicators** | | | | | | | | | |
| Co-benefit 1 |  | |  |  | |  |  | |  |
| Co-benefit 2 |  | |  |  | |  |  | |  |
| Co-benefit 3 |  | |  |  | |  |  | |  |
| **E.6. Project/programme activities and deliverables** | | | | | | | | | |
| *All project activities should be listed here with a description and sub-activities. Significant deliverables should be reflected in annex 5 implementation timetable. Add rows as needed.*  *Please number the activities as shown below to indicate association of activities to the related outputs provided above in section E.5. Similarly, please number sub-activities as shown below to associate to the related activity.* | | | | | | | | | |
| **Activities** | | **Description** | | | **Sub-activities** | | | **Deliverables** | |
| Activity 1.1.1 | |  | | | Sub-activity 1.1.1.1  Sub-activity 1.1.1.2  Sub-activity 1.1.1.3 | | |  | |
| Activity 1.1.2 | |  | | |  | | |  | |
| Activity 1.2.1 | |  | | |  | | |  | |
| Activity 1.2.2 | |  | | |  | | |  | |
| Activity 2.1.1 | |  | | |  | | |  | |
| Activity 2.1.2 | |  | | |  | | |  | |
| **E.7. Monitoring, reporting and evaluation arrangements (max. 500 words, approximately 1 page)** | | | | | | | | | |
| *Besides the arrangements (e.g. annual performance reports) laid out in Accreditation Master Agreement (AMA), please give a summary of the project/programme specific arrangements for monitoring, reporting and evaluation including a description of the monitoring and reporting system that will be used to assess the climate results of the proposed activity. Please also summarize the types of interim and final evaluations. Describe Accredited Entity (AE) project reporting relationships, including to the National Designated Authority (NDA)/Focal Point and between AE and Executing Entity (EE) as relevant, identifying reporting obligations from the EE to the AE. This should relate to the frequency of reporting on project indicators, implementation challenges and financial status. Please note that interim and final evaluations are expected to embed an assessment of project/programme’s contributions to a paradigm shift and enabling environment using a three-point scale rating. Refer to the guidance note for the summary requirements and factor in additional evaluation /assessment activities under this section accordingly.* | | | | | | | | | |

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| **RISK ASSESSMENT AND MANAGEMENT** | | |
| F.1. **Risk factors and mitigations measures (max. 3 pages)** | | |
| *Please describe financial, technical, operational, macroeconomic/political, money laundering/terrorist financing (ML/TF), sanctions, prohibited practices, and other risks that might prevent the project/programme objectives from being achieved. Also describe the proposed risk mitigation measures. Insert additional rows if necessary.*  *For probability: High has significant probability, Medium has moderate probability, Low has negligible probability*  *For impact: High has significant impact, Medium has moderate impact, Low has negligible impact*  *Prohibited practices include abuse, conflict of interest, corruption, retaliation against whistleblowers or witnesses, as well as fraudulent, coercive, collusive, and obstructive practices* | | |
| **Selected Risk Factor 1** | | |
| **Category** | **Probability** | **Impact** |
| Select | Select | Select |
| **Description** | | |
| *Please describe the risk to the best of your knowledge at this point in time.* | | |
| **Mitigation Measure(s)** | | |
| *Please describe how the identified risk will be mitigated or managed. Do the mitigation measures lower the probability of risk occurring? If so, to what level?* | | |
| **Selected Risk Factor 2** | | |
| **Category** | **Probability** | **Impact** |
| Select | Select | Select |
| **Description** | | |
| *Please describe the risk to the best of your knowledge at this point in time.* | | |
| **Mitigation Measure(s)** | | |
| *Please describe how the identified risk will be mitigated or managed. Do the mitigation measures lower the probability of risk occurring? If so, to what level?* | | |
| **Selected Risk Factor 3** | | |
| **Category** | **Probability** | **Impact** |
| Select | Select | Select |
| **Description** | | |
| *Please describe the risk to the best of your knowledge at this point in time.* | | |
| **Mitigation Measure(s)** | | |
| *Please describe how the identified risk will be mitigated or managed. Do the mitigation measures lower the probability of risk occurring? If so, to what level?* | | |

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| **GCF POLICIES AND STANDARDS** |
| G.1. **Environmental and social risk assessment (max. 750 words, approximately 1.5 pages)** |
| *Provide the environmental and social risk category assigned to the proposal as a result of screening and the rationale for assigning such category. Present also the environmental and social assessment and management instruments developed for the proposal (for example, Environment and Social Impact Assessment (ESIA), Environment and Social Management Framework (ESMF), Environmental and Social Management Plan (ESMP), Environmental and Social Management System (ESMS), environmental and social audits, etc.). Provide a summary of the main outcomes of these instruments. Present the key environmental and social risks and impacts and the measures on how the project/programme will avoid, minimize and mitigate negative impacts at each stage (e.g. preparation, implementation and operation), in accordance with GCF’s Environmental and Social Safeguard (*[*ESS) standards*](https://www.greenclimate.fund/safeguards/environment-social)*. If the proposed project or programme involves investments through financial intermediations, describe the due diligence and management plans by the Executing Entities (EEs) and the oversight and supervision arrangements. Describe the capacity of the EEs to implement the ESMP and ESMF and arrangements for compliance monitoring, supervision and reporting. Include a description of the project/programme-level grievance redress mechanism, a summary of the extent of multi-stakeholder consultations undertaken for the project/programme, the plan of the Accredited Entity (AE) and EEs to continue to engage the stakeholders throughout project implementation, and the manner and timing of disclosure of the applicable safeguards reports following the requirements of the GCF* [*Information Disclosure Policy*](https://www.greenclimate.fund/disclosure/policy) *and* [*Environmental and Social Policy.*](https://www.greenclimate.fund/safeguards/environment-social)  *Describe any potential impacts on indigenous peoples and the measures to address these impacts including the development of an Indigenous Peoples Plan and the process for meaningful consultation leading to free, prior and informed consent, pursuant to the GCF* [*Indigenous Peoples Policy*](https://www.greenclimate.fund/safeguards/indigenous-peoples)*.*  *Attach the appropriate assessment and management instruments or other applicable studies, depending on the environmental and social risk category as annex 6.* |
| G.2. **Gender assessment and action plan (max. 500 words, approximately 1 page)** |
| *Provide a summary of the gender assessment and project/programme-level gender action plan that is aligned with the objectives of GCF’s* [*Gender Policy*](http://www.greenclimate.fund/documents/20182/818273/1.8_-_Gender_Policy_and_Action_Plan.pdf/f47842bd-b044-4500-b7ef-099bcf9a6bbe?version=1.1)*. Confirm a gender assessment and action plan exists describing the process used to develop both documents. Provide information on the key findings (who is vulnerable and why) and key recommendations (how to address the vulnerability identified) of the gender assessment. Indicate if stakeholder consultations have taken place and describe the key inputs integrated into the action plan, including: how addressing the vulnerability will ensure equal participation and benefits from funds investment; key gender-related results to be expected from the project/programme with targets; implementation arrangements that the AE has put in place to ensure activities are implemented and expected outcomes will be achieved, monitored and evaluated.*  *Provide the full gender assessment and project-level gender action plan as annex 8.* |
| G.3. **Financial management and procurement (max. 500 words, approximately 1 page)** |
| *Describe the project/programme’s financial management including the financial monitoring systems, financial accounting, auditing, and disbursement structure and methods. Refer to section B.4 on implementation arrangements as necessary.*  *Articulate any procurement issues that may require attention, e.g. procurement implementation arrangements and the role of the AE under the respective proposal, articulation of procurement risk assessment undertaken and how that will be managed by the AE or the implementing agency. Provide a detailed procurement plan as annex 10.* |
| G.4. **Disclosure of funding proposal** |
| *Note: The Information Disclosure Policy (IDP) provides that the GCF will apply a presumption in favour of disclosure for all information and documents relating to the GCF and its funding activities. Under the IDP, project and programme funding proposals will be disclosed on the GCF website, simultaneous with the submission to the Board, subject to the redaction of any information that may not be disclosed pursuant to the IDP. Information provided in confidence is one of the exceptions, but this exception should not be applied broadly to an entire document if the document contains specific, segregable portions that can be disclosed without prejudice or harm.*  *Indicate below whether or not the funding proposal includes confidential information.*  No confidential information: The accredited entity confirms that the funding proposal, including its annexes, may be disclosed in full by the GCF, as no information is being provided in confidence.  With confidential information: The accredited entity declares that the funding proposal, including its annexes, may not be disclosed in full by the GCF, as certain information is being provided in confidence. Accordingly, the accredited entity is providing to the Secretariat the following two copies of the funding proposal, including all annexes:   * full copy for internal use of the GCF in which the confidential portions are marked accordingly, together with an explanatory note regarding the said portions and the corresponding reason for confidentiality under the accredited entity’s disclosure policy, and * redacted copy for disclosure on the GCF website.   The funding proposal can only be processed upon receipt of the two copies above, if containing confidential information. |

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| ANNEXES | | | | |
| **H.1. Mandatory annexes** | | | | |
|  | | Annex 1 | | NDA no-objection letter(s) [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_1_template_-_NDA_no-objection_letter.docx/dac6e101-5a91-63b3-817e-627286c79c85) |
|  | | Annex 2 | | Feasibility study - and a market study, if applicable |
|  | | Annex 3 | | Economic and/or financial analyses in spreadsheet format |
|  | | Annex 4 | | Detailed budget plan [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_4_template_-_Detailed_budget_plan.xlsx/2d34b526-e650-804a-81ca-ecb5a293985d) |
|  | | Annex 5 | | Implementation timetable including key project/programme milestones [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_5_template_-_Implementation_timetable.xlsx/2e73b0d1-3f1d-5870-609a-3e6b39905e9c) |
|  | | Annex 6 | | E&S document corresponding to the E&S category (A, B or C; or I1, I2 or I3):  [**(ESS disclosure form provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_6_form_-_ESS_disclosure_report.dotx/b5fd8542-6a8a-5a37-f099-5e6d6744fa25)  Environmental and Social Impact Assessment (ESIA) or  Environmental and Social Management Plan (ESMP) or  Environmental and Social Management System (ESMS)  ☐ Others (please specify – e.g. Resettlement Action Plan, Resettlement Policy Framework, Indigenous People’s Plan, Land Acquisition Plan, etc.) |
|  | | Annex 7 | | Summary of consultations and stakeholder engagement plan |
|  | | Annex 8 | | Gender assessment and project/programme-level action plan [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_8_template_and_guide_-_Gender_assessment_and_action_plan.doc/fe5711ca-8fcf-1a14-5d3e-804aad1a7827) |
|  | | Annex 9 | | Legal due diligence (regulation, taxation and insurance) |
|  | | Annex 10 | | Procurement plan [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_10_-_Procurement_plan.docx/4a360e64-5f03-e02e-408b-6239fccaedb3) |
|  | | Annex 11 | | Monitoring and evaluation plan [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_11_template_-_Monitoring_and_evaluation_plan.docx/6a3b64be-9712-454b-b948-99cf8ffc43bb) |
|  | | Annex 12 | | AE fee request [**(template provided)**](https://www.greenclimate.fund/documents/20182/193373/Funding_Proposal_Annex_12_template_-_AE_fee_request.xlsx/4e9450c0-6bf0-8290-24b7-2ff43ca95c01) |
|  | | Annex 13 | | Co-financing commitment letter, if applicable [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_13_template_-_Co-financing_commitment_letter.docx/16bb3e0a-be63-19cd-d352-460176f4a569) |
|  | | Annex 14 | | Term sheet including a detailed disbursement schedule and, if applicable, repayment schedule |
| **H.2. Other annexes as applicable** | | | | |
|  | | Annex 15 | | Evidence of internal approval [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_15_template_-_Evidence_of_internal_approval.docx/dcb5743a-46d9-0e8f-2da6-b9b58371f82b) |
|  | Annex 16 | | Map(s) indicating the location of proposed interventions | |
|  | Annex 17 | | Multi-country project/programme information [**(template provided)**](https://www.greenclimate.fund/documents/20182/574712/Funding_Proposal_Annex_17_template_-_Multi-country_project_programme_information.xlsx/95110afa-ab09-f948-1abe-5887bcfec594) | |
|  | Annex 18 | | Appraisal, due diligence or evaluation report for proposals based on up-scaling or replicating a pilot project | |
|  | Annex 19 | | Procedures for controlling procurement by third parties or executing entities undertaking projects financed by the entity | |
|  | Annex 20 | | First level AML/CFT (KYC) assessment | |
|  | Annex 21 | | Operations manual (Operations and maintenance) | |
|  | Annex 22 | | Assessment of GHG emission reductions and their monitoring and reporting (for mitigation and cross cutting-projects)[[6]](#footnote-7) | |
|  | Annex X | | Other references | |

*\* Please note that a funding proposal will be considered complete only upon receipt of all the applicable supporting documents.*

1. Co-financer’s contribution means the financial resources required, whether Public Finance or Private Finance, in addition to the GCF contribution (i.e. GCF financial resources requested by the Accredited Entity) to implement the project or programme described in the funding proposal. [↑](#footnote-ref-2)
2. The total lifespan of the project/programme is defined as the maximum number of years over which the outcomes of the investment are expected to be effective. This is different from the project/programme implementation period. [↑](#footnote-ref-3)
3. Refer to the [Policy of Co-financing](https://www.greenclimate.fund/document/policy-co-financing) of the GCF. [↑](#footnote-ref-4)
4. GCF categorizes co-benefits into six areas which are: environmental, social, economic, gender, adaptation (relevant for pure mitigation projects) and mitigation (relevant for pure adaptation projects). Further guidance is available in the funding proposal (FP) guidance note. [↑](#footnote-ref-5)
5. The final target means the target at the end of project/programme implementation period. However, for core indicator 1 (GHG emission reduction), please also provide the target value at the end of the total lifespan period which is defined as the maximum number of years over which the impacts of the investment are expected to be effective. [↑](#footnote-ref-6)
6. Annex 22 is mandatory for mitigation and cross-cutting projects. [↑](#footnote-ref-7)